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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re PCT P	atent Application	)			
Applicant:	Peltason et al.	)	I hereby certify that this paper is being deposited with the United		
Serial No.	10/657,935	)	States Postal Service as first Class Mail in an enve addressed to: Commissioner for Patents, P.O. Box 1 Alexandria, VA 22313-1450, on this date		
Filed:	September 8, 2003	)	Date Registration No. 21,497 Attorney for Applicants		
For:	WATER SOFTENER MANIFOLD RETAINER ASSEMBLY	)			
Art Unit:	1723	)	i. W		
Control No.:		)			
Examiner:	Not assigned	)			

## REQUEST FOR A REFUND TO DEPOSIT ACCOUNT 07-2069

Mail Stop 16 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22213-1450

Sir:

The above-identified application was filed on September 8, 2003 with a signed Declaration (see copies of transmittal, postcard, and Declaration enclosed). On December 1, 2003, a Notice of Missing Parts was mailed indicating that the Declaration was missing and a fee of \$130 would be assessed for late filing. In response, on December 9, 2003, Applicant submitted that the Declaration was filed with the original application filing, provided the above evidence, and included another copy of the executed Declaration. Instead of accepting

Adjustment date: 03/05/2004 SDIRETA2 01/22/2004 UEDUVIJE 0000001 072069 10657935 01 FC:1051 130.00 CR

Serial No. PCT/US03/27194

0321.66867PCT

that the Declaration was timely filed, the PTO incorrectly charged Deposit Account 07-2069 for \$130 as a missing parts fee.

Applicants therefore request a refund in the **total amount of \$130.00**, which reflects the aforementioned erroneous deposit account charge for the late filing fee. We request that \$130.00 be credited to Deposit Account No. 07-2069. Should there be any questions regarding this Request for a Refund, please contact the undersigned attorney at the telephone number listed below.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD

Ву

awrence Crain, Reg. No. 31,497

February 25, 2004

300 South Wacker Drive, Suite 2500

Chicago, Illinois 60606

Telephone: 312.360.0080

Facsimile: 312.360.9315



Sir:

#### COMMISSIONER FOR PATENTS Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

#### PATENT APPLICATION Date: September 8, 2003 File No. 0308.67558

I hereby certify that this paper is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450, on this date. Transmitted herewith for filing is the patent application of Inventor(s): Keith Peltason et al. September 8, 2003 Date Express Mail Label No.: EV 032697818US WATER SOFTENER MANIFOLD RETAINER ASSEMBLY For: Enclosed are: (X) 18 pages of specification, including 20 claims and an abstract. (X) an executed oath or declaration, with power of attorney. an unexecuted oath or declaration, with power of attorney. ( ) 4 sheet(s) of informal drawing(s). (X) ( ) \_\_\_ sheet(s) of formal drawings(s). (X) Assignment(s) of the invention to USF Consumer & Commercial WaterGroup, Inc. and Assignment Cover Sheet. (X) A check in the amount of \$40.00 to cover the fee for recording the assignment(s). Information Disclosure Statement, Form PTO-1449 and cited references. ( ) ( ) Claim for Priority and Priority Document. Fee Calculation For Claims As Filed a) Basic Fee \$ 750.00 b) Independent Claims 3 = 0 x \$84.00 = \$ 0c) Total Claims 20 = 0 x \$18.00 = 0d) Fee for Multiple Dependent Claims \$ 280.00 = \$ Total Filing Fee \$ 750.00 ( ) Applicant(s) qualifies as a Small Entity, reducing Filing Fee by half to (X) A check in the amount of \$750.00 to cover the filing fee is enclosed. ( ) Charge \$\_\_\_\_\_ to Deposit Account No. 07-2069. ( ) Other ( ) The Commissioner is hereby authorized to charge any additional fees which may be required to this

Respectfully submitted.

application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069.

300 South Wacker Drive - Suite 2500

A duplicate copy of this sheet is enclosed.

Chicago, Illinois 60606
Telephone: (312) 360-0080
Facsimile: (312) 360-9315
Customer Number 24978

E-1030067550mpdTRANS-PAT-fine

GREER, BURNS & CRAIN, LTD.

Lawrence J. Crans

Registration 26. 31,497



Name/Number: 10657935

Total Records Found: 3

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount Mailroom Date	Payment Method
09/11/2003	00000036	<u>1</u>	<u>1001</u>	\$750.00 09/08/2003	CK
09/16/2003	00000061	<u>1</u>	<u>8021</u>	\$40.00 09/08/2003	CK
01/22/2004	00000002	<u>1</u>	<u>1051</u>	\$130.00 12/12/2003	DA 072069





## **Deposit Account Statement**

**Requested Statement Month:** 

January 2004

**Deposit Account Number:** 

072069

Name:

GREER, BURNS & CRAIN, LTD.

Attention:

MR. ROGER GREER

Address:

City:

300 S. WACKER DRIVE 25TH FLOOR

CHICAGO

State:

*IL* 

Zip:

60606

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/02	24	10744192		9204	-\$54.00	\$6,208.50
01/05	547	1815985	0444.67704	7205	\$100.00	\$6,108.50
01/05	548	1815985	0444.67704	7201	\$400.00	\$5,708.50
01/06	4	09726752	115.64887	1251	\$110.00	\$5,598.50
01/06	326	PCT/US03/17379	0212.66341PCT	1606	\$260.00	\$5,338.50
01/07	114	78348318	0909.68838	7001	\$335.00	\$5,003.50
01/09	126	78349419	1816.68991	7001	\$335.00	.\$4,668.50
01/09	466	76446655	1981.66866	7004	\$150.00	\$4,518.50
01/13	65	10222251	120166811	8021	\$40.00	\$4,478.50
01/13 2	253	PCT/US04/00446	032168782PCT	1702	\$735.00	\$3,743.50
01/13 2	255	PCT/US04/00446	032168782PCT	1703	\$132.00	\$3,611.50
01/13 2	256	PCT/US04/00446	032168782PCT	8007	\$40.00	\$3,571.50
01/13 3	334	1815646	2311.64303	7205	\$100.00	\$3,471.50
01/13 3	335	1815646	2311.64303	7201	\$400.00	\$3,071.50
01/15 4	40	PCT/US03/35665		9204	-\$250.00	\$3,321.50
01/16 2	287	78352559	2111.69133	7001	\$335.00	\$2,986.50
01/20 2	2	09652268	2648.63638	2501	\$210.00	\$2,776.50
01/21 5	5	09960419	0941.65839	1251	\$110.00	\$2,666.50
01/21 2	28	09501747	1508.63599	1504	-\$300.00	\$2,966.50
01/21 1	121	10758668	1947-68749	2001	\$385.00	\$2,581.50
01/22 1		09760431	042H	1201	\$86.00	\$2,495.50
01/22 2	?	10657935	0308.67558	1051	<b>-</b> \$130.00	\$2,365.50
01/22 4	53	29185983	220668105	8007	\$120.00	\$2,245.50
01/22 4	54	29185982	220668104	8007	\$120.00	\$2,125.50
1/22 4	56	29185937	220667103	8007	\$120.00	\$2,005.50
1/22 4	58 2	29185977	220668102	8007	\$120.00	\$1,885.50
1/22 4	60 2	29185976	220668100	8007	\$120.00	\$1,765.50
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1/22 4	64 2	29186127	220668098	8007	\$120.00	\$1,525.50

01/22 4	466	29186126	220668097	8007	\$120.00	\$1,405.50
01/26 4	174	78356800	1425,69339	7001	\$335.00	\$1,070.50
01/27 3	389	75824585	3589.67254	7004	\$300.00	\$770.50
01/27 4	114	75824584	3589.67255	7004	\$300.00	\$470.50
01/28 3	34	PAYMENT		9203	-\$5,000.00	\$5,470.50
01/28 5	58	10031182		9204	-\$665.00	\$6,135.50
01/29 1	16	5433063		9204	-\$2,090.00	\$8,225.50
01/29 5	57	6023118		2554	\$65.00	\$8,160.50
01/29 9	99	09751571	2500.65080	1201	\$4.00	\$8,156.50
01/29 2	291	10235870	3531.66875	1201	\$2.00	\$8,154.50
01/29 1	292	1819653	2311.64305	7205	\$100.00	\$8,054.50
01/29 1	293	1819653	2311.64305	7201	\$400.00	\$7,654.50
01/30 1		09962907		1252	\$420.00	\$7,234.50
01/30 4	54	10469390	1503.68285	2617	\$65.00	\$7,169.50
01/30 4	55	10469390	1503.68285	1618	\$130.00	\$7,039.50
		START	SUM OF	SUM OF	END	
	,	BALANCE	CHARGES	REPLENISH	BALANCE	
		\$6,154.50	\$7,474.00	\$8,359.00	\$7,039.50	

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### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS 2

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

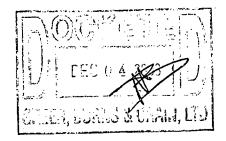
10/657,935

09/08/2003

Keith Peltason

0308.67558

24978 GREER, BURNS & CRAIN 300 S WACKER DR 25TH FLOOR CHICAGO, IL 60606



CONFIRMATION NO. 1598
FORMALITIES LETTER
\*OC000000011383221\*

Date Mailed: 12/01/2003

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Due 2-2-04

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

03 DEC -4

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

9: 52

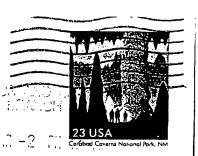
P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

BREER, BURNS & CRAINLUB. 03 SEP 15 AM 8: 55

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GREER, BURNS & CRAÏN, LTD. 25th FLOOR 300 S. Wacker Dr. Chicago, IL 60606

22141 U.S. PTO 10/657935

D USPS 2002 C recycled

In re Patent Application of Keith Peltason et al. For: WATER SOFTENER MANIFOLD RETAINER...

Patent Application File No. 0308.67558 LJC:ved September 8, 2003

Enclosed is a Transmittal in duplicate; Patent application including 18 pages of spec & 20 claims; 4 sheets of informal drawings; Check for \$750.00 (Filing Fee, Large Entity); Executed Declaration and power of attorney; Executed Assignment; Assignment Recordation cover sheet w/ check for \$40.00; and Certificate of Express Mail No. EV 032697818US.

Commissioner for Patents Please acknowledge receipt of the above-identified documents by applying the Patent and Trademark Office receipt hereto and mailing this card. Respectfully, GREER, BURNS & CRAIN, LTD.

Patent Application

In re Patent Application of ... Keith Peltason et al. For: WATER SOFTENER MANIFOLD RETAINER... File No. 0308.67558 LJC:ved September 8, 2003

Enclosed is a Transmittal in duplicate; Patent application including 18 pages of spec & 20 claims; 4 sheets of informal drawings; Check for \$750.00 (Filing Fee, Large Entity); Executed Declaration and power of attorney; Executed Assignment; Assignment Recordation cover sheet w/ check for \$40.00; and Certificate of Express Mail No. EV 032697818US.

Please acknowledge receipt of the above-identified documents by applying the Patent and Trademark Office receipt hereto and mailing this card.

Respectfully... GREER, BURNS & CRAIN, LTD.



COMMISSIONER FOR PATENTS Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

PATENT APPLICATION Date: September 8, 2003 File No. 0308.67558

I hereby certify that this paper is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA

el No.: EV 032697818US

Tran	smitted herewith for filing is the patent application of	22313-1450, on this date.				
	ntor(s): Keith Peltason et al.	September 8, 2003				
For:	WATER SOFTENER MANIFOLD RETAINER AS	Date Express Mail Lab SEMBLY				
Encl	osed are:					
(X)	18 pages of specification, including 20 claims	and an abstract				
(X)	an executed oath or declaration, with power of attorn	ev.				
( ) (X)	an unexecuted oath or declaration, with power of atto 4 sheet(s) of informal drawing(s).	mey.				
( )	sheet(s) of formal drawing(s) sheet(s) of formal drawings(s).					
(X)	Assignment(s) of the invention to USF Consumer & Commercial WaterGroup, Inc. and					
(X)	rissignment Cover Sileet.					
(A) ( )	A check in the amount of \$40.00 to cover the fee for	or recording the assignment(s).				
$\dot{}$	Information Disclosure Statement, Form PTO-1449 a Claim for Priority and Priority Document.	nd cited references.				
	• • • • • • • • • • • • • • • • • • •					
	Fee Calculation For Claims A	As Filed				
	a) Basic Fee	\$ 750.00				
	b) Independent Claims $2 - 3 = $	0  x  \$84.00 = \$  0				
	c) Total Claims $20$ - $20$ = _	0 x \$18.00 = \$ 0				
	d) Fee for Multiple Dependent Claims	\$ 280.00 = \$				
		otal Filing Fee \$ 750.00				
)	Applicant(s) qualifies as a Small Entity, reducing Filing	Fee by half to \$				
X)	A check in the amount of \$750.00 to cover the filing for					

Respectfully submitted,

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069.

300 South Wacker Drive - Suite 2500

Charge \$\_\_\_\_\_ to Deposit Account No. 07-2069.

A duplicate copy of this sheet is enclosed.

Chicago, Illinois 60606

Other

Telephone: (312) 360-0080 Facsimile: (312) 360-9315 Customer Number 24978

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(X)

( ) ( )

GREER, BURNS & CRAIN, LTD.

Registration Mo. 31,497

PATENT

As a below named inventor, I hereby declare:

That my residence, post office address and citizenship are as stated below next to my name:

That I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

	WA	TER SOFTENER MA	NIFOLD RETAINER ASSI	ENTRY 12
the specificati (check one)	on of whic	ch		CIMBLY
	[X]	is attached hereto.		
	[]	was filed on Application Serial No. and was amended on		as
			(if applicable)	
as amended by	That I I	nave reviewed and understand dment referred to above.	the contents of the above-identified s	pecification, including the claims,
in accordance w	That I a	cknowledge the duty to disclo 17, Code of Federal Regulatio	se information which is material to thens, ≥1.56(a).	ne examination of this application
foreign applicati	That I hion(s) for ports certific	nereby claim foreign priority patent or inventor's certificate nate on this invention having a	benefits under Title 35, United Stat listed below and have also identified l filing date before that of the applicat	es Code, >119 and >172 of any below any foreign application for ion on which priority is claimed:
	-ppiicatio	(3)		Priority Claimed
(Number)		(Country)	(Day/Month/Year)	[][] Yes No
(Number)		(Country)	(Day/Month/Year)	[ ] [ ] Yes No
(Number)	<del></del>	Country)	(Day/Month/Year)	[ ] [ ] Yes No
listed below and, States application to disclose material filing date of the p	al info-	mer provided by the first parag	tle 35, United States Code, >120 of a the claims of this application is not graph of Title 35, United States Code ode of Federal Regulations, >1.56(a I international filing date of this app	a disclosed in the prior United
United States Ap			date of this app	nication:
(Appl. Ser.No.)		(Filing Date)	(Status) (patented, pending,	abandoned)
(Appl. Ser.No.)	That all state	(Filing Date)	(Status) (patented, pending, a	abandoned)

That all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

I hereby appoline following attorneys, with full power of substantian and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and request that all correspondence and telephone calls in respect to this application be directed to GREER, BURNS & CRAIN, LTD., Suite 17.2, 2500, 300 South Wacker Drive, Chicago, Illinois 60606, Telephone No. (312) 360-0080:

#### Customer No. 24978

Attorney Roger D. Greer Patrick G. Burns Lawrence J. Crain Steven P. Fallon Paul G. Juettner Thomas R. Juettner	Reg. No. 26,174 29,367 31,497 35,132 30,270 16,158	Attorney Carole A. Mickelson Brittany C. MacDonald Arik B. Ranson Thomas R. Fitzsimons Josh Snider Joe Fox	Reg. No. 30,778 44,159 43,874 40,607 47,954 41,760
Thomas R. Juettner James K. Folker Laura R. Wanek	• •		•

I hereby grant the above-named attorneys the right to insert the Application Serial Number in the appropriate place on this document, once such number has been assigned from the United States Patent & Trademark Office.

Full name of sole or one joint inventor:	Keith Peltason
Inventor's signature:	Kith Colhm
Date:	9/5/03
Residence and Post Office Address	43 Wisteria Lane, Apt. 3731  Schaumburg, Illinois 60173
Citizenship:	United States
Full name of sole or one oint inventor:	Lonnie Webb
nventor's signature:	- Lond Wills
Date:	
Residence and Post Office Address:	4995 Bayfield Drive
	Waterford, Wisconsin 53185
Citizenship:	United States
Address for Correspondence:	GREER, BURNS & CRAIN, LTD. Suite 2500 300 South Wacker Drive Chicago, Illinois 60606
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